

## §5.2 Geodesic & Curvatures

Let  $\mathbb{H}^n = (\mathbb{B}^n, \frac{4}{(1-x_1^2)^2} \sum_{i=1}^n dx^i \otimes dx^i)$

Facts:  $\mathbb{R}^2 \hookrightarrow \mathbb{R}^n$ ,  $\mathbb{S}^2 \hookrightarrow \mathbb{S}^n$ ,  $\mathbb{H}^2 \hookrightarrow \mathbb{H}^n$

are totally geodesic submanifolds, the studies of geodesics on  $\mathbb{R}^n$ ,  $\mathbb{S}^n$  &  $\mathbb{H}^n$  can be reduced

to  $\mathbb{R}^2$ ,  $\mathbb{S}^2$ , &  $\mathbb{H}^2$ .

(since  $\forall x, y \in \mathbb{R}^n$ ,  $\mathbb{S}^n$  or  $\mathbb{H}^n$ ,  $\exists$  isometry of  $\mathbb{R}^n$ ,  $\mathbb{S}^n$  or  $\mathbb{H}^n$  respectively, taking  $x$  to  $y$ . (Ex))

Let  $M = \mathbb{R}^2, \mathbb{S}^2, \text{ or } \mathbb{H}^2$ , and let  $0 \in M$  be a fixed point.

Let  $C(r) = \{x \in M : d(0, x) = r\}$  be the geodesic circle of radius  $r$ .

If  $r > 0$  small enough, then

$C(r) = \exp_0 (circle of radius r in  $T_0 M$ )$

Denote length  $C(r) = \begin{cases} C_0(r) & , \text{ if } M = \mathbb{R}^2 \\ C_+(r) & , \text{ if } M = \mathbb{S}^2 \\ C_-(r) & , \text{ if } M = \mathbb{H}^2 \end{cases}$

If  $M = \mathbb{R}^2$ , it is clear that

$$C_0(r) = 2\pi r$$

If  $M = S^2$ , we may assume  $O = \text{north pole}$ .

Then the geodesic circle

$$C(r) = \text{a circle}$$

of radius  $\sin r$  in  $\mathbb{R}^3$



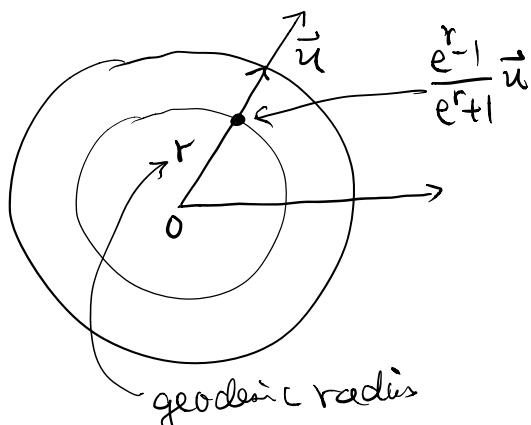
$$\Rightarrow C(r) = 2\pi \sin r$$

If  $M = \mathbb{H}^2$ , then by the proof of Lemma 6, a normalized geodesic from  $O$  is given by

$$\gamma(s) = \frac{e^s - 1}{e^s + 1} \vec{u}, \text{ where } \vec{u} = \text{unit vector in } \mathbb{R}^2$$

where  $s = \text{arc-length}$

$$(|\gamma'(s)|_{\mathbb{H}^2} = 1)$$



$$\Rightarrow d_{\mathbb{H}^2}(O, \gamma(r)) = \int_0^r |\gamma'(s)|_{\mathbb{H}^2} ds$$

$$= r$$

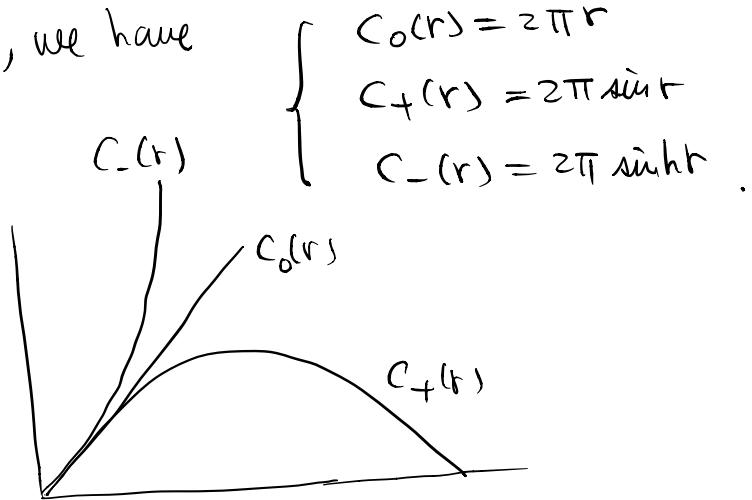
$$\Rightarrow C(r) = \text{Euclidean circle of radius } \frac{e^r - 1}{e^r + 1} \left( = \tanh \frac{r}{2} \right)$$

$$\Rightarrow C_-(r) = \int_0^{2\pi} \frac{2}{1-\rho^2} \rho d\theta \quad \text{where } \rho = \tanh \frac{r}{2}$$

$$= 2\pi \cdot \frac{2\rho}{1-\rho^2}$$

$$\Rightarrow \boxed{C_-(r) = 2\pi \sinh r}$$

In summary, we have

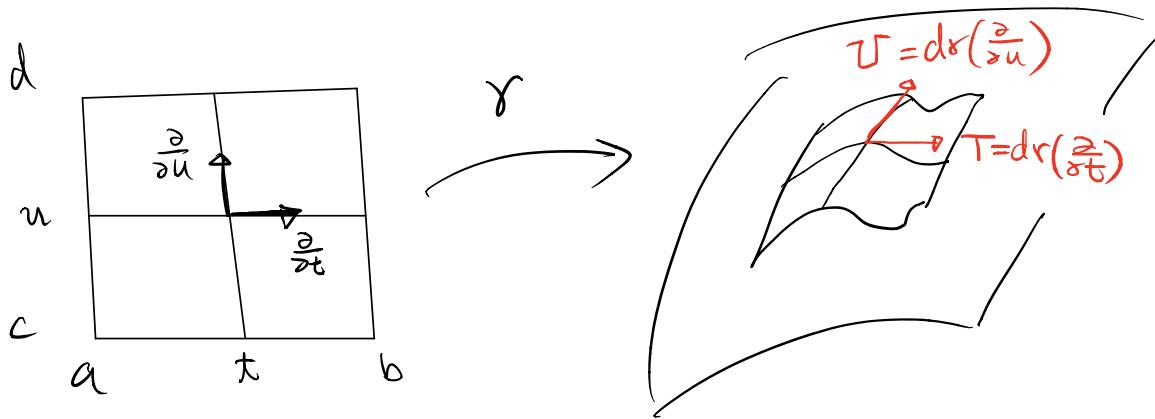


To generalize the above to arbitrary complete Riem. manifold, we need to study variations of geodesics.

Let  $\gamma: [a,b] \times [c,d] \rightarrow M$  be a  $C^\infty$  map from the rectangle  $[a,b] \times [c,d]$  to a complete Riem. manifold  $M$  (of  $\dim \geq 2$ ). Denote a point in  $[a,b] \times [c,d]$  by  $(t,u)$ . Then we can define 2 tangent vector

fields along  $\gamma$  by

$$\left\{ \begin{array}{l} T(t, u) = d\gamma \left( \frac{\partial}{\partial t} \Big|_{(t, u)} \right) \\ U(t, u) = d\gamma \left( \frac{\partial}{\partial u} \Big|_{(t, u)} \right) \end{array} \right. M$$



For fixed  $u \in [c, d]$ , a curve

$$\gamma_u : [a, b] \rightarrow M \quad \text{is defined.}$$

$$t \mapsto \gamma(t, u)$$

Suppose  $0 \in [c, d]$ . Then  $\gamma_0$  is called the base curve of  $\gamma$ . If  $\gamma_u$  are geodesics  $\forall u \in [c, d]$ , we call  $\gamma$  a one-parameter family of geodesics.

In this case, the vector field  $T = \gamma'_u$  and hence

$$D_T T = 0.$$

We also have  $[T, U] = d\gamma([\frac{\partial}{\partial t}, \frac{\partial}{\partial u}]) = 0$

Hence

$$\begin{cases} [T, U] = 0 \\ D_T T = 0 \end{cases} \quad \text{along } \gamma.$$

Then  $D_T D_T U = D_T(D_U T)$

$$\begin{aligned} &= D_T(D_U T) - D_U(D_T T) - D_{[T, U]}^0 T \\ &= -R_{TU} T \end{aligned}$$

Therefore, along the base geodesic  $\gamma_0$ , we have

$$\boxed{D_{\gamma'_0} D_{\gamma'_0} U + R_{\gamma'_0 U} \gamma'_0 = 0} \quad (\text{Jac})$$

or simply  $\boxed{U'' + R_{\gamma'_0 U} \gamma'_0 = 0}$

by writing  $U'' = D_{\gamma'_0} D_{\gamma'_0} U$  (similarly  $U' = D_{\gamma'_0} U$ )

Def = • Equation (Jac) is called the Jacobi equation along  $\gamma_0$ .

• Solutions of (Jac) are called Jacobi fields along  $\gamma_0$ .

Note : The vector field  $\nabla$  constructed above is called a transversal vector field (a variational vector field) of  $\{\gamma_u\}$ .

Lemma 7 : A transversal vector field of a 1-parameter family of geodesics is a Jacobi field.

Eg : If  $M = 2$  dim'l complete Riem. manifold.

Denote  $C(r) = \{x \in M : d(x, o) = r\}$

$c(r) = \text{length } C(r)$

where  $o \in M$  is fixed.

Let  $(\rho, \theta)$  = polar coordinates on  $T_o M$ .

Let  $\delta > 0$  small s.t.  $\exp_o$  is a diffeomorphism on

$B(\delta) = \{v \in T_o M : \rho(v) < \delta\}$

We can parametrize a circle of radius  $r$  in  $B(\delta)$

by  $\tilde{\gamma} = [0, 2\pi] \rightarrow B(\delta)$   
 $\overset{\psi}{\uparrow} \quad \overset{\psi}{\uparrow}$   
 $\theta \mapsto (r, \theta)$

Then  $C(r) = \exp_o(\tilde{\gamma})$  and

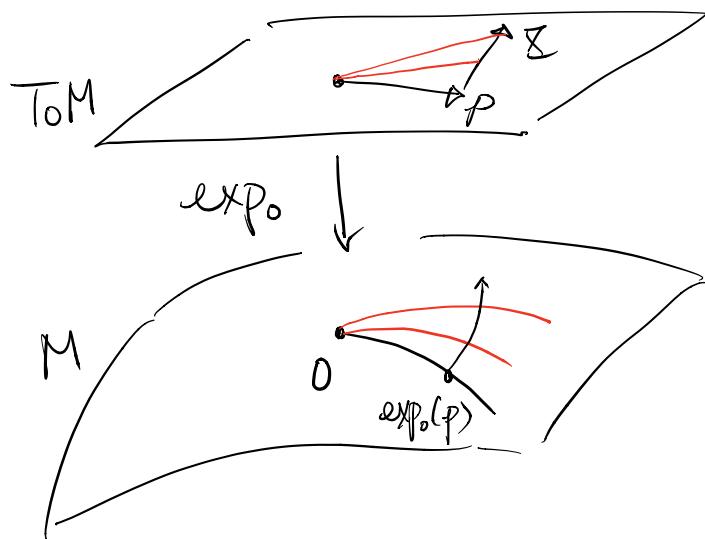
$$c(r) = \int_0^{2\pi} |(d\exp_o)_{(r,\theta)}(\frac{\partial}{\partial \theta})| d\theta$$

Note that  $(d\exp_o)_{(r,\theta)}(\frac{\partial}{\partial \theta})$  is a transversal vector field of the family of radial geodesics (with specific initial values).

### General setting

Let •  $M$  = complete Riem. manifold of dim  $n \geq 2$

- $0 \in M$  fixed point
- $p \in T_0 M$
- $\bar{x} \in T_p(T_0 M) \cong T_0 M$



Define  $\Gamma = [0, r] \times [0, 1] \rightarrow M$ , where  $r = |p|$  by

$$\Gamma(t, u) = \exp_0 \left[ \frac{t}{r} (p + u \bar{x}) \right]$$

Then  $\forall u \in [0, 1]$ ,  $\Gamma_u(t) = \Gamma(t, u)$  is a geodesic

with initial tangent vector  $\frac{1}{r} (p + u \bar{x})$  (not of length 1 unless  $u=0$ )

$\Rightarrow \Gamma(t, u)$  is a 1-parameter family of geodesics.

Let  $V(t) =$  transversal vector field along  $\Gamma_0$ , and

$\delta > 0$  be a number s.t.  $\exp_0$  is a diffeo on

$$B(\delta) = \{v \in T_0 M : |v| < \delta\} \quad (\text{if } |v| = \rho(v) \text{ in polar coordinates})$$

Set  $B_\delta = \{x \in M : d(0, x) < \delta\}$ . Then

$$B_\delta = \exp_0(B(\delta)).$$

Let  $\{e_1, \dots, e_n\}$  be an orthonormal basis of  $T_0 M$  and

$\{\alpha^1, \dots, \alpha^n\}$  be the dual basis of  $\{e_1, \dots, e_n\}$ .

Then  $\{\alpha^1, \dots, \alpha^n\}$  are coordinate functions on  $T_0 M$ .

Define a coordinate system on  $B_\delta$  by

$$x^i = \alpha^i \circ \exp_0^{-1} : B_\delta \rightarrow \mathbb{R} \quad (i = 1, \dots, n).$$

Then

$$\text{Claim} \quad \left\{ \begin{array}{l} \left\langle \frac{\partial}{\partial x^i}|_0, \frac{\partial}{\partial x^j}|_0 \right\rangle = \delta_{ij}, \quad \forall i, j \\ D_{\frac{\partial}{\partial x^i}|_0} \frac{\partial}{\partial x^j} = 0, \quad \forall i, j \end{array} \right.$$

(Note : coordinate systems satisfying these coordinates are called normal coordinate systems. )

Pf : The 1<sup>st</sup> eqt follows from  $(d\exp_o)_{o \in M} = \text{Id}_{T_o M}$ .

To see the 2<sup>nd</sup>, we define a bilinear form

$$\beta : T_o M \times T_o M \rightarrow \mathbb{R}^n$$

$$\text{by } \beta(e_i, e_j) = D_{\frac{\partial}{\partial x^i}|_0} \frac{\partial}{\partial x^j}.$$

Then  $\forall v = \sum v^i e_i \in T_o M$ ,

$$\begin{aligned} \beta(v, v) &= \sum_{i,j} v^i v^j \beta(e_i, e_j) = \sum_{i,j} v^i v^j D_{\frac{\partial}{\partial x^i}|_0} \frac{\partial}{\partial x^j} \\ &= D_{\left( \sum_i v^i \frac{\partial}{\partial x^i} \right)|_0} \left( \sum_j v^j \frac{\partial}{\partial x^j} \right). \end{aligned}$$

Note that  $\sum_{i,j} \frac{\partial^2}{\partial x_i \partial x_j} \Big|_0$  is the initial tangent vector of the geodesic  $\exp_o(t \sum_i v^i e_i)$ . Hence  $\beta(v, v) = 0$  by the geodesic eqt.

$$\Rightarrow \beta \equiv 0 \text{ on } T_0 M$$

$$\text{ie. } D_{\frac{\partial}{\partial x_i} \Big|_0} \frac{\partial}{\partial x_j} = 0 \quad \forall i, j$$

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Now assume  $\vec{p} = \sum p^i e_i \approx \vec{x} = \sum x^i e_i$  (under  $T_p(T_0 M) \cong T_0 M$ )

For  $\varepsilon > 0$  small,  $\varepsilon \vec{p} \approx \varepsilon \vec{x} \in B(\vec{p})$

Then in the above coordinate system  $\{x^1, \dots, x^n\}$ , the

coordinate vector of  $\Gamma(t, u) = \exp_o\left(\frac{t}{r}(\vec{p} + u\vec{x})\right)$  is

$$\frac{t}{r}(\vec{p} + u\vec{x}), \text{ where } \vec{p} = \begin{pmatrix} p^1 \\ \vdots \\ p^n \end{pmatrix} \text{ and } \vec{x} = \begin{pmatrix} x^1 \\ \vdots \\ x^n \end{pmatrix},$$

for  $(t, u) \in [0, \varepsilon r] \times [0, \varepsilon]$ .

And the base geodesic is  $\Gamma_o(t) = \Gamma(t, 0) \xrightarrow{\text{in coordinates}} \frac{t}{r}\vec{p}$ .

$$\Rightarrow U(t) = \frac{\partial}{\partial u} \Gamma(t, u) = \frac{t}{r} \vec{x} \text{ (in coordinates)}$$

$$\text{ie. } U(t) = \frac{t}{r} \sum x^i \frac{\partial}{\partial x^i} \Big|_{(t, 0)}$$

Therefore,  $\mathcal{U}(0) = 0$  and

$$\begin{aligned}\mathcal{U}'(0) &= D_{\mathcal{U}'(0)} \mathcal{U} = \left. \frac{d}{dt} \right|_{t=0} \left( \frac{1}{r} \sum \vec{x}^i \frac{\partial}{\partial x^i} \Big|_{(t,0)} \right) \\ &= \frac{1}{r} \sum \vec{x}^i \frac{\partial}{\partial x^i} \Big|_0 + 0.\end{aligned}$$

In conclusion, the transversal vector field  $\mathcal{U}(t)$  of  $\mathcal{P}(t, u) = \exp_0 \left[ \frac{t}{r} (p + u \vec{x}) \right]$  satisfies

$$\begin{cases} \mathcal{U}(0) = 0 \\ \mathcal{U}'(0) = \frac{1}{r} \vec{x} \text{ (in coordinate), where } r = |p|. \end{cases}$$

$$\left[ \text{Note: } \mathcal{U}(t) = \frac{t}{r} (\text{d} \exp_0)_{(\frac{t}{r} p)} (\vec{x}) \text{ (check!)} \right]$$

Applying the above to  $M = \mathbb{R}^2, S^2 \text{ or } H^2$  with  $p = (r, \theta)$ ,

$$\vec{x} = \frac{\partial}{\partial \theta} \Big|_{(r, \theta)}. \text{ Then } \mathcal{U}(r) = (\text{d} \exp_0)_{(r, \theta)} \left( \frac{\partial}{\partial \theta} \right) \text{ (at } t=r)$$

is a Jacobi field satisfying

$$\begin{cases} \mathcal{U}(0) = 0 \\ |\mathcal{U}'(0)| = \frac{1}{r} \left| \frac{\partial}{\partial \theta} \right| = 1 \quad ((r, \theta) = \text{polar coordinates}). \end{cases}$$

Let  $W(t)$  = unit parallel vector field along  $\Gamma_0$  s.t.

$$\langle W(t), \Gamma'_0(t) \rangle = 0$$

On the other hand, Gauss lemma

$$\Rightarrow U(t) = (\exp_0)_{(t,0)}\left(\frac{\partial}{\partial \theta}\right) \text{ is normal}$$

to  $\Gamma'_0(t)$ .

In our case of  $\dim M = 2$ ,

$$U(t) = (\exp_0)_{(t,0)}\left(\frac{\partial}{\partial \theta}\right) = f(t)W(t)$$

for some function  $f \in C^\infty[0, r]$ .

Then  $U'(t) = D_{\Gamma'_0(t)} U(t) = f'(t)W(t)$  and

$$U''(t) = D_{\Gamma'_0(t)} D_{\Gamma'_0(t)} U(t) = f''(t)W(t)$$

(since  $W$  is parallel).

$$(\text{Jac}) \Rightarrow f''(t)W(t) + R_{\Gamma'_0, fW} \Gamma'_0 = 0$$

$$\Rightarrow f''(t) + f \langle R_{\Gamma'_0, W} \Gamma'_0, W \rangle = 0$$

i.e.  $f'' + Kf = 0$ , where  $K$  = Gauss curvature

at  $\Gamma'_0(t)$

(in general  $K = \text{sectional curvature } (\text{Span}(\Gamma'_0, W))$ )  
 since  $|\Gamma'_0(t)| = |W(t)| = 1 \Rightarrow \langle \Gamma'_0, W \rangle = 0$

We may also assume  $\langle W, \frac{\partial}{\partial \theta} \rangle > 0$ , we have

$$\begin{cases} f'' + Kf = 0 \\ f(0) = 0 \\ f'(0) = 1 \end{cases}$$

$\therefore$  The signature of  $K$  has implication on

$$c(r) = \int_0^{2\pi} |(\text{dexp}_0)_{(r,0)}\left(\frac{\partial}{\partial \theta}\right)| d\theta = \int_0^{2\pi} f d\theta.$$

In particular, if  $K=0, \pm 1$ , we have

$$f(r) = \begin{cases} r & , K=0 \\ \sin r & , K=+1 \\ \sinh r & , K=-1 \end{cases}$$

Prop : Let  $K \geq +1$ , then  $c(r) \leq 2\pi \sin r$ , for small  $r$ .

Pf : Consider a comparison function  $\theta(t) = \sin t$ .

Then  $\begin{cases} \theta'' + \theta = 0 \\ \theta(0) = 0 \\ \theta'(0) = 1 \end{cases}$

$$\Rightarrow (rf' - f\theta')' = \theta f'' - f\theta'' = -Kf\theta + f\theta$$

$$= -(K-1) f h$$

Since  $f(0) = h(0) = 0$ ,  $f'(0) = h'(0) = 1$ , we have

$f \geq 0$ ,  $h \geq 0$  for small  $t > 0$ .

$$\Rightarrow (h f' - f h')' \leq 0 \text{ for small } t > 0$$

$$\Rightarrow h f' - f h' \leq h(0)f'(0) - f(0)h'(0) = 0 \text{ for small } t > 0$$

$$\Rightarrow \left(\frac{f}{h}\right)' = \frac{h f' - f h'}{h^2} \leq 0 \text{ for small } t > 0$$

$$\Rightarrow \frac{f(t)}{h(t)} \leq \lim_{\varepsilon \rightarrow 0} \frac{f(\varepsilon)}{h(\varepsilon)} = \frac{f'(0)}{h'(0)} = 1$$

for small  $t > 0$

$$\Rightarrow f(t) \leq h(t) = \sin t \text{ for small } t > 0$$

Hence  $c(r) = \int_0^{2\pi} f(r, \theta) d\theta \leq 2\pi \sin r \text{ for small } r > 0.$

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Prop: If  $K \leq -1$ , we have  $c(r) \geq 2\pi \sinh r$   
(for small  $r$  at this moment)

Pf: Consider  $h(t) = \sinh t$

Then  $\begin{cases} h'' - h = 0 \\ h(0) = 0 \\ h'(0) = 1 \end{cases}$

$$\Rightarrow (h f' - f h')' = h f'' - f h'' = -K f h - f h$$

$$= -(K+1)fh \\ \geq 0 \quad \text{for small } t > 0$$

$$\Rightarrow tf' - fh' \geq h(0)f'(0) - f(0)h'(0) = 0$$

$$\Rightarrow \left(\frac{f}{h}\right)' \geq 0$$

$$\Rightarrow \frac{f(t)}{h(t)} \geq \lim_{\varepsilon \rightarrow 0} \frac{f(\varepsilon)}{h(\varepsilon)} = \frac{f'(0)}{h'(0)} = 1$$

$$\Rightarrow f(t) \geq \sinh(t) \quad \text{for small } t > 0.$$

$$\Rightarrow C(r) \geq 2\pi \sinh(r), \quad \text{for small } r > 0. \quad \#$$

## Ch6 Jacobi Field, Cartan-Hadamard Thm

### § 6.1 Jacobi Field

Let  $\gamma$  = normalized geodesic (ie.  $|\gamma'|=1$ )

Recall that the Jacobi equation (for vector field along  $\gamma$ )

is

$$\nabla' + R_{\gamma'} \nabla' = 0 \quad (\text{Jac})$$

where  $\nabla'' = D_{\gamma'} D_{\gamma'} \nabla$  ( $\nabla' = D_{\gamma'} \nabla$ )

Let  $\{e_1(t), \dots, e_n(t)\}$  be parallel vector fields along  $\gamma$  such that  $\forall t$

$$\begin{cases} e_i(t) = \gamma'(t) \\ \{e_i(t)\}_{i=1}^n \text{ is an orthonormal basis of } T_{\gamma(t)} M. \end{cases}$$

Then A vector field  $V$  along  $\gamma$ , we write

$$V(t) = \sum_{i=1}^n f^i(t) e_i(t), \text{ for some functions } f^i(t).$$

Similarly, the curvature can be written as

$$R_{e_i(t) e_j(t)} e_k(t) = \sum_{l=1}^n R_{ijk}^l e_l(t),$$

where  $R_{ijk}^l(t) = \langle R_{e_i(t) e_j(t)} e_k(t), e_l(t) \rangle$ .

Then the eqt. (Jac)  $\Rightarrow$

$$\begin{aligned} 0 &= U'' + R_{\gamma'} U \gamma' \\ &= (\sum f^i e_i)'' + R_{e_1} (\sum f^l e_l) e_1 \\ &= \sum_i (\dot{f}^i)'' e_i + \sum_l f^l R_{e_1 e_l} e_1 \\ &= \sum_i (\dot{f}^i)'' e_i + \sum_l f^l \left( \sum_i R_{i e_l}^i e_i \right) \\ &= \sum_i \left[ (\dot{f}^i)'' + \sum_l R_{i e_l}^i f^l \right] e_i \end{aligned}$$

$$\therefore (\text{Jac}) \Leftrightarrow \boxed{(\dot{f}^i)'' + \sum_l R_{i e_l}^i f^l = 0, \forall i=1,\dots,n}$$

which is a 2<sup>nd</sup> order linear ODE system.

Then ODE theory  $\Rightarrow$

Lemma 1

(1) Let  $\gamma$  be a geodesic. Then given any  $U, W \in T_{\gamma(t_0)} M$ ,  
 $\exists$  a unique Jacobi field  $U(t)$  along  $\gamma$  s.t.

$$U(t_0) = U, \quad U'(t_0) = W.$$

(2) Unless  $U \equiv 0$ , the zero set of  $U(t)$  along  $\gamma$  is

discrete.

(Pf: by standard ODE theory )

Lemma 2 Let  $\mathcal{U}$  be a vector field along a normalized geodesic  $\gamma$ . Then  $\mathcal{U}$  is a Jacobi field along  $\gamma$   $\Leftrightarrow \mathcal{U}$  is the transversal vector field of a one-parameter family of geodesics.