## Solution 9

1. Let  $\Omega$  be a bounded, convex set in  $\mathbb{R}^n$ . Show that a family of equicontinuous functions is bounded in  $C(\Omega)$  if there exists a point  $x_0 \in \Omega$  and a constant M > 0 such that  $|f(x_0)| \leq M$  for all f in the family.

**Solution.** By equicontinuity, for  $\varepsilon = 1$ , there is some  $\delta_0$  such that  $|f(x) - f(y)| \le 1$ whenever  $|x - y| \le \delta_0$ . Let  $B_R(x_0)$  a ball containing E. Then  $|x - x_0| \le R$  for all  $x \in E$ . We can find  $x_0, \dots, x_n = x$  where  $n\delta_0 \le R \le (n+1)\delta_0$  so that  $|x_{n+1} - x_n| \le \delta_0$ . It follows that

$$|f(x) - f(x_0)| \le \sum_{j=0}^{n-1} |f(x_{j+1} - f(x_j))| \le n \le \frac{R}{\delta_0}.$$

Therefore,

$$|f(x)| \le |f(x_0)| + n + 1 \le M + \frac{R}{\delta_0} \quad \forall x \in \Omega, \ \forall f \in \mathcal{F}.$$

2. Let  $\{f_n\}$  be a sequence in  $C(\Omega)$  where  $\Omega$  is open in  $\mathbb{R}^n$ . Suppose that on every compact subset of  $\Omega$ , it is equicontinuous and bounded. Show that there is a subsequence  $\{f_{n_j}\}$ converging to some  $f \in C(\Omega)$  uniformly on each compact subset of  $\Omega$ . (Hint: Show that  $\Omega = \bigcup_{i=1}^{\infty} K_i$ , where  $K_j$  are compact subsets of  $\Omega$  and  $K_i \subset K_{i+1}$ , for all i.)

**Solution.** Let  $K_j$  be an ascending family of compact sets in  $\Omega$  satisfying  $\Omega = \bigcup_j K_j$ . You may take  $K_j = \overline{B_j(0)} \bigcap \{x \in \Omega : d(x, \partial \Omega) \ge 1/j\}$ . Applying A-A theorem to  $\{f_n\}$  on each  $K_n$  step by step and then take a Cantor's diagonal sequence.

3. Let  $K \in C([a, b] \times [a, b])$  and  $f_n, f \in C[a, b]$ , define Tf by

$$(Tf)(x) = \int_{a}^{b} K(x, y) f(y) dy.$$

- (a) Show that T maps C[a, b] to itself.
- (b) Show that if  $\{f_n\}$  is a bounded sequence in C[a, b], then  $\{Tf_n\}$  contains a convergent subsequence.

## Solution.

(a) Since  $K \in C([a, b] \times [a, b])$ , given  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $|K(x, y) - K(x', y)| < \varepsilon$ , whenever  $|x - x'| < \delta$ . Then for  $x, x' \in [a, b], |x - x'| < \delta$ , one has

$$|(Tf)(x) - (Tf)(x')| \le \int_{a}^{b} |K(x,y) - K(x',y)| |f(y)| dy \le |a-b| ||f||_{\infty} \varepsilon.$$

Hence  $Tf \in C[a, b]$ .

- (b) Suppose  $\sup_n ||f_n||_{\infty} \leq M < \infty$ . It follows from the proof of (a) that  $\delta$  can be taken independent of n. Hence  $\{f_n\}$  is equicontinuous. Furthermore, since  $|(Tf_n)(x)| \leq \int_a^b |K(x,y)||f_n(y)|dy \leq M(b-a)||K||_{\infty}, \{f_n\}$  is uniformly bounded. Then it follows from Arzela-Ascoli theorem that  $\{Tf_n\}$  contains a convergent subsequence.
- 4. Show that the boundary of a nonempty open set in a metric space must be closed and nowhere dense. Conversely, every closed, nowhere dense set is the boundary of some open set.

**Solution.** Let U be a nonempty open set and let  $\Gamma$  be its boundary. Then  $U \cap \Gamma = \emptyset$ , since every point of U is an interior point.  $\Gamma$  is closed since the boundary of a set is always a closed set. Let  $x \in \Gamma$ . Since x is a boundary point, any metric ball containing x must contain some points in U. It follows that  $\Gamma$  is nowhere dense. Conversely, Let  $\Gamma$  be a closed and nowhere dense set. Let U be the complement of  $\Gamma$ . Then U is open. Let  $x \in \Gamma$ . Since  $\Gamma$  is nowhere dense, any metric ball containing x must contain some points in U. Hence  $\Gamma \subset \partial U$ . Since every point of U is an interior point,  $\Gamma = \partial U$ .

5. Use Baire category theorem to show that transcendental numbers are dense in the set of real numbers.

**Solution.** A number is called algebraic if it is a root of some polynomial with integer coefficients and it is transcendental otherwise. Let  $\mathcal{A}$  be all algebraic numbers and  $\mathcal{T}$  be all transcendental numbers so that  $\mathbb{R} = \mathcal{A} \cup \mathcal{T}$ . From MATH2050 or even earlier we know that  $\mathcal{A}$  is a countable set  $\{a_j\}$ . Thus let  $\mathcal{A}_n = \{a_1, \dots, a_n\}$  and we have  $\mathcal{T} = \bigcap_n \mathbb{R} \setminus \mathcal{A}_n$ . As each  $\mathbb{R} \setminus \mathcal{A}_n$  is a dense, open set,  $\mathcal{T}$  is a set of second category and therefore dense.

In case you don't want to use the countability of algebraic numbers, you may let

 $\mathcal{P}_n = \{ \text{ integer polynomials of degree not exceeding } n \text{ and of coefficients in } \{-n, \dots, n\} \}$ 

and

 $\mathcal{B}_n = \{x : x \text{ is a root of some polynomials in } \mathcal{P}_n\}.$ 

Then show that each  $\mathcal{B}_n$  is closed and nowhere dense. Therefore,  $\mathcal{A} = \bigcup_n \mathcal{B}_n$  is of first category.  $\mathcal{B}_n$  is closed since  $\mathcal{P}_n$ , and hence  $\mathcal{B}_n$ , is finite. To show nowhere dense of  $\mathcal{B}_n$ , you may assume the existence of at least one transcendental number  $\alpha$ , say. Then for every algebraic number a, show that  $a + n^{-1}\alpha$  is a transcendental number so you can always find a transcendental number no matter how close to a.

A final remark is, while it is easy to show transcendental numbers are dense, here we show that it is of second category, a bit more information.