## THE CHINESE UNIVERSITY OF HONG KONG DEPARTMENT OF MATHEMATICS

MMAT 5000 Analysis I 2015-2016

Suggested Solution to Problem Set 1

1. If E or F is empty, then the statement is trivial. Therefore, we assume both E and F are non-empty. Let  $y \in f(E \cup F)$ , then

$$y \in f(E \cup F) \iff \exists x \in E \cup F \text{ such that } y = f(x)$$
$$\iff y = f(x) \text{ for some } (x \in E \text{ or } x \in F)$$
$$\iff y \in f(E) \text{ or } y \in f(F)$$
$$\iff y \in f(E) \cup f(F).$$

Let  $v \in f(E \cap F)$ , then

$$v \in f(E \cap F) \Longrightarrow \exists u \in E \cap F \text{ such that } v = f(u)$$
$$\Longrightarrow v = f(u) \text{ for some } (u \in E \text{ and } u \in F)$$
$$\Longrightarrow v \in f(E) \text{ and } v \in f(F)$$
$$\Longrightarrow v \in f(E) \cap f(F).$$

Note that the reverse side from the third to second line is false in general.

2. First we assume  $f^{-1}(G \cup H)$  is non-empty. Let  $x \in f^{-1}(G \cup H)$ , then

$$\begin{aligned} x \in f^{-1}(G \cup H) &\iff \exists y \in G \cup H \text{ such that } y = f(x) \\ &\iff \exists (y \in G \text{ or } y \in H) \text{ such that } y = f(x) \\ &\iff x \in f^{-1}(G) \text{ or } x \in f^{-1}(H) \\ &\iff x \in f^{-1}(G) \cup f^{-1}(H). \end{aligned}$$

If  $f^{-1}(G \cup H)$  is empty, then since clearly  $f^{-1}(G)$ ,  $f^{-1}(H) \subseteq f^{-1}(G \cup H)$ ,  $f^{-1}(G)$  and  $f^{-1}(H)$  are both empty and the hence the equality holds. Next, again, we assume  $f^{-1}(G) \cap f^{-1}(H)$  is non-empty. Let  $u \in f^{-1}(G) \cap f^{-1}(H)$ , then

$$u \in f^{-1}(G) \cap f^{-1}(H)$$
  

$$\implies u \in f^{-1}(G) \text{ and } u \in f^{-1}(H)$$
  

$$\implies \exists v_1 \in G \text{ and } v_2 \in H \text{ such that } v_1 = f(u) \text{ and } v_2 = f(u).$$

By the definition of a function, we must have that f(u) is a single element and hence  $v_1 = v_2$ . Therefore,  $v_1 = v_2 \in G \cap H$  and  $u \in f^{-1}(G \cap H)$ . This shows that  $f^{-1}(G) \cap f^{-1}(H) \subseteq f^{-1}(G \cap H)$ . Conversely, since we clearly have

$$f^{-1}(G \cap H) \subseteq f^{-1}(G), f^{-1}(H),$$

we get

 $f^{-1}(G \cap H) \subseteq f^{-1}(G) \cap f^{-1}(H).$ 

Altogether,  $f^{-1}(G \cap H) = f^{-1}(G) \cap f^{-1}(H)$ . Finally, when  $f^{-1}(G) \cap f^{-1}(H)$  is empty, since  $f^{-1}(G \cap H) \subseteq f^{-1}(G) \cap f^{-1}(H)$ ,  $f^{-1}(G \cap H)$  must also be empty and the equality holds.

3. (a) We assume E is non-empty; otherwise, the statement is trivial. Let  $u \in E$ , then  $f(u) \in f(E)$  and so  $u \in f^{-1}(f(E))$  by definition. This shows that  $E \subseteq f^{-1}(f(E))$ . Next, we suppose  $f^{-1}(f(E))$  is non-empty and let  $x \in f^{-1}(f(E))$ ; otherwise, the first part shows that E is also empty and the result follows. Now,

$$x \in f^{-1}(f(E)) \Longrightarrow \exists y \in f(E) \text{ such that } y = f(x)$$

Since  $y \in f(E)$ , there exists  $x' \in E$  such that f(x) = y = f(x'). The injectivity of f shows that  $x = x' \in E$ . Therefore, if f is injective, then  $f^{-1}(f(E)) = E$ .

To show that the injectivity of f is essential, consider the function  $f: \mathbb{R} \to \mathbb{R}$  such that  $f(x) = x^2$ . Let  $E = \{x \in \mathbb{R} : x \ge 0\}$ , then  $E \neq \mathbb{R} = f^{-1}(f(E))$ .

(b) We assume H is non-empty; otherwise, the statement is trivial. We first assume  $f(f^{-1}(H))$  is non-empty (which is indeed the case if we assume f is surjective and H is non-empty). Let  $v \in f(f^{-1}(H))$ , then v = f(u) for some  $u \in f^{-1}(H)$ , or  $v = f(u) \in H$ . Thus,  $f(f^{-1}(H)) \subseteq H$  in general. Now, let  $y \in H$ . The surjectivity of f shows that there exists  $x \in A$  such that y = f(x), or  $x \in f^{-1}(H)$ . Therefore,  $y = f(x) \in f(f^{-1}(H))$  and we have shown that  $H \subseteq f(f^{-1}(H))$ .

To show that the surjectivity is essential, we consider the function  $f : \mathbb{R} \to \mathbb{R}$  such that  $f(x) = x^2$ . Let  $H = \{x \in \mathbb{R} : x < 0\}$ , then  $H \neq \emptyset = f(f^{-1}(H))$ .

- 4. Note that because f is an injection, the sightly modified function g:  $D(f) \to f(A)$  with g(x) = f(x) for  $x \in D(f)$  is a bijection and  $g^{-1}$  is well-defined. Therefore, in the first part of the question,  $f^{-1}$  is actually the g we have just defined.
  - (a) It follows from the definition of the inverse " $f^{-1}$ " as explained at the beginning.

(b) We need to show that  $f^{-1}$  is both injective and surjective.

To see that  $f^{-1}$  is injective, let  $y_1, y_2 \in B$  and  $f^{-1}(y_1) = f^{-1}(y_2)$ . Applying the function f on both sides and recalling that  $f \circ f^{-1}$  is the identity map, we get  $y_1 = y_2$ . This shows that  $f^{-1}$  is injective. For surjectivity, let  $x \in A$ . Considering the identity map  $f^{-1} \circ f$ , we get

$$f^{-1}(f(x)) = x$$

Clearly,  $f(x) \in B$  and hence  $f^{-1}$  is surjective.

5. We first show that  $g \circ f$  is injective. Let  $x_1, x_2 \in A$  and  $g \circ f(x_1) = g \circ f(x_2)$ . The injectivity of g shows that  $f(x_1) = f(x_2)$  and then the injectivity of f shows that  $x_1 = x_2$ . This shows that  $g \circ f$  is injective.

To see that  $g \circ f$  is surjective, let  $w \in C$ . The surjectivity of g shows that there exists  $y \in B$  such that g(y) = w and then the surjectivity of f shows that there exists  $x \in A$  such that f(x) = y, or  $g \circ f(x) = w$ . This shows that  $g \circ f$  is surjective.

- 6. (a) Let  $x_1, x_2 \in A$  and  $f(x_1) = f(x_2)$ . Applying the function g on both sides, we get  $g \circ f(x_1) = g \circ f(x_2)$  and the injectivity of  $g \circ f$  shows that  $x_1 = x_2$ .
  - (b) Let  $w \in C$ . The surjectivity of  $g \circ f$  shows that existence of  $x \in A$  such that  $g \circ f(x) = w$ , or g(f(x)) = w. Since  $f(x) \in B = D(g)$ , the result follows.
- 7. Note that the fact that f is a bijection follows from the last question. We first claim that D(f) = R(g) and D(g) = R(f). Now, from the equations, we see that they are possible only if  $R(f) \subseteq D(g)$  and  $R(g) \subseteq D(f)$ . Also, from the equations, for  $x \in D(f)$  and  $y \in D(g)$ , we have

$$x = g \circ f(x) = g(f(x)) \in R(g) \qquad y = f \circ g(y) = f(g(y)) \in R(f).$$

Hence, our claim holds. Finally, from the commutativity of functions and the identities  $f^{-1} \circ f(x) = x$  for  $x \in D(f)$  and  $f \circ g(y) = y$  for  $y \in D(g) = R(f)$ , we get

$$\begin{aligned} f \circ g(y) &= y \\ f^{-1}(f \circ g(y)) &= f^{-1}(y) \\ g(y) &= f^{-1}(y). \end{aligned}$$

8. Let P(n) be the statement

$$\frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} + \dots + \frac{1}{\sqrt{n+1}} > \sqrt{n+1}.$$

We will prove the statement by the Mathematical Induction.

For n = 1,

$$\frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} > \sqrt{2}.$$

Hence, the statement is true for n = 1.

Suppose P(k) is true; we need to show that P(k+1) is true.

For n = k + 1,

$$\frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} + \dots + \frac{1}{\sqrt{k+1}} + \frac{1}{\sqrt{k+2}}$$
  
>  $\sqrt{k+1} + \frac{1}{\sqrt{k+2}}$   
=  $\sqrt{k+2} - \frac{1}{\sqrt{k+1} + \sqrt{k+2}} + \frac{1}{\sqrt{k+2}}$   
>  $\sqrt{k+2}$ .

Hence, P(k+1) is true and the statement is true for all  $n \in \mathbb{N}$  by the principle of the Mathematical Induction.

9. Let  $S(n) = P(n + n_0 - 1)$ , then the assumptions give

The statement S(1) is true;

For all  $k \ge 1$ , the truth of S(k) implies the truth of S(k+1).

An application of the usual Mathematical Induction on  $S(n), n \ge 1$  gives the desired conclusion.

10. We modify the proof for the usual Mathematical Induction.

Suppose  $S \neq \mathbb{N}$ , then  $\mathbb{N} \setminus S$  is non-empty. By the Well-ordering principle, there exists  $m \in \mathbb{N} \setminus S$  such that m is the least element in  $\mathbb{N} \setminus S$ . By the first assumption,  $m \neq 1$ . Since m is the minimum of  $\mathbb{N} \setminus S$ ,  $\{k \in \mathbb{N} : k < m\} \subseteq S$ . The second assumption gives  $m \in S$  which is a contradiction. Therefore, we must have  $S = \mathbb{N}$ .

11. We modify the proof for the usual Mathematical Induction.

Suppose  $S \neq \mathbb{N}$ , then  $\mathbb{N} \setminus S$  is non-empty. By the Well-ordering principle, there exists  $m \in \mathbb{N} \setminus S$  such that m is the least element in  $\mathbb{N} \setminus S$ . By the first assumption,  $m \neq 2^k$  for any  $k \in \mathbb{N}$ , say,  $m < 2^{k'}$  for some  $k' \in \mathbb{N}$ . By the second assumption, we must have  $m \in S$  which is a contradiction. Therefore, we must have S = N.

- 12. Define the map  $f: S \to \mathbb{N}$  by f(n) = n 2015. It is clear that f is bijection and the result follows.
- 13. We first prove the statement under the addition assumption that  $S \cap T$  is empty. By the definition of countably infinity, there exists bijections  $f_S: S \to \mathbb{N}$  and  $f_T: T \to \mathbb{N}$ . Define the function  $f: S \cup T \to \mathbb{N}$  such that

$$f(x) = \begin{cases} 2f_S(x) & \text{if } x \in S, \\ 2f_T(x) + 1 & \text{if } x \in T. \end{cases}$$

It is clear that f is a bijection and hence  $S \cup T$  is countably infinite.

In general, consider  $S \cup T = (S \setminus T) \cup (S \cap T) \cup (T \setminus T)$ . The decomposed sets are mutually distinct. Since it is also clearly that the union of two finite sets is finite and the union of a finite set and a countably infinite set is countably infinite, the result follows.

14. Let  $f: S \to \mathbb{N}$  be a bijection. Since  $S \cap T \subseteq T$ ,  $S \cap T$  is finite, say,  $0 \neq |S \cap T| = N \in \mathbb{N}$  (the case  $|S \cap T| = 0$  is trivial). Write  $S \cap T = \{s_1, s_2, \cdots, s_N\}$ . Let  $M = \max f(S \cap T)$ . We define the function  $g: S \setminus T \to \mathbb{N}$  by

$$g(s) = \begin{cases} f(s) & \text{if } s \in f^{-1}(\{n \in \mathbb{N} : n \le M\}), \\ s_k & \text{if } f^{-1}(s) = M + k, 1 \le k \le N, \\ f(s) - N & \text{otherwise.} \end{cases}$$

It is easy to see that g is a bijection and hence  $S \setminus T$  is countably infinite.

- 15. Consider the function  $g: S \to R(f)$  where g(s) = f(s) for  $s \in S$ . Then g is a bijection and hence R(f) is an infinite set. Since  $R(f) \subseteq T$ , T is also an infinite set.
- 16. Yes, S is an infinite set. Since f is a surjection, for each  $t \in T$ ,  $f^{-1}(\{t\})$  is non-empty. By the axiom of choice, for each  $t \in T$ , we can pick an  $s_t \in f^{-1}(\{t\})$ . Define  $S_T = \{s_t\}_{t \in T}$ , then  $S_T \subseteq S$  and the function  $f : S_T \to T$  defined by  $f(s_t) = t$  is a bijection. Hence,  $S_T$  is an infinite set and so S is also an infinite set.
- 17. (a)  $\mathcal{P}(S) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{2, 3\}, \{3, 1\}, S\}$  and hence  $|\mathcal{P}(S)| = 2^3 = 8$ .
  - (b) For n = 1, the statement is trivially true.

Suppose the statement is true for n = k, we need to show that the statement holds for n = k + 1.

For n = k + 1, pick an element  $s \in S$ , then  $|S \setminus \{s\}| = k$ . The assumption shows that  $|\mathcal{P}(S \setminus \{s\})| = 2^k$ . Now,

$$\mathcal{P}(S) = \{A \in \mathcal{P}(S) : s \in A\} \cup \{A \in \mathcal{P}(S) : s \notin A\}$$
$$= \{A \in \mathcal{P}(S) : s \in A\} \cup \mathcal{P}(S \setminus \{s\})$$
$$= \{A \cup \{s\} : A \in \mathcal{P}(S \setminus \{s\})\} \cup \mathcal{P}(S \setminus \{s\}).$$

Note that union is disjoint and hence

$$|\mathcal{P}(S)| = 2|\mathcal{P}(S \setminus \{s\})| = 2^{k+1}.$$

The statement holds for n = k + 1 and the desired conclusion follows from the Mathematical Induction.